



# Lecture 2

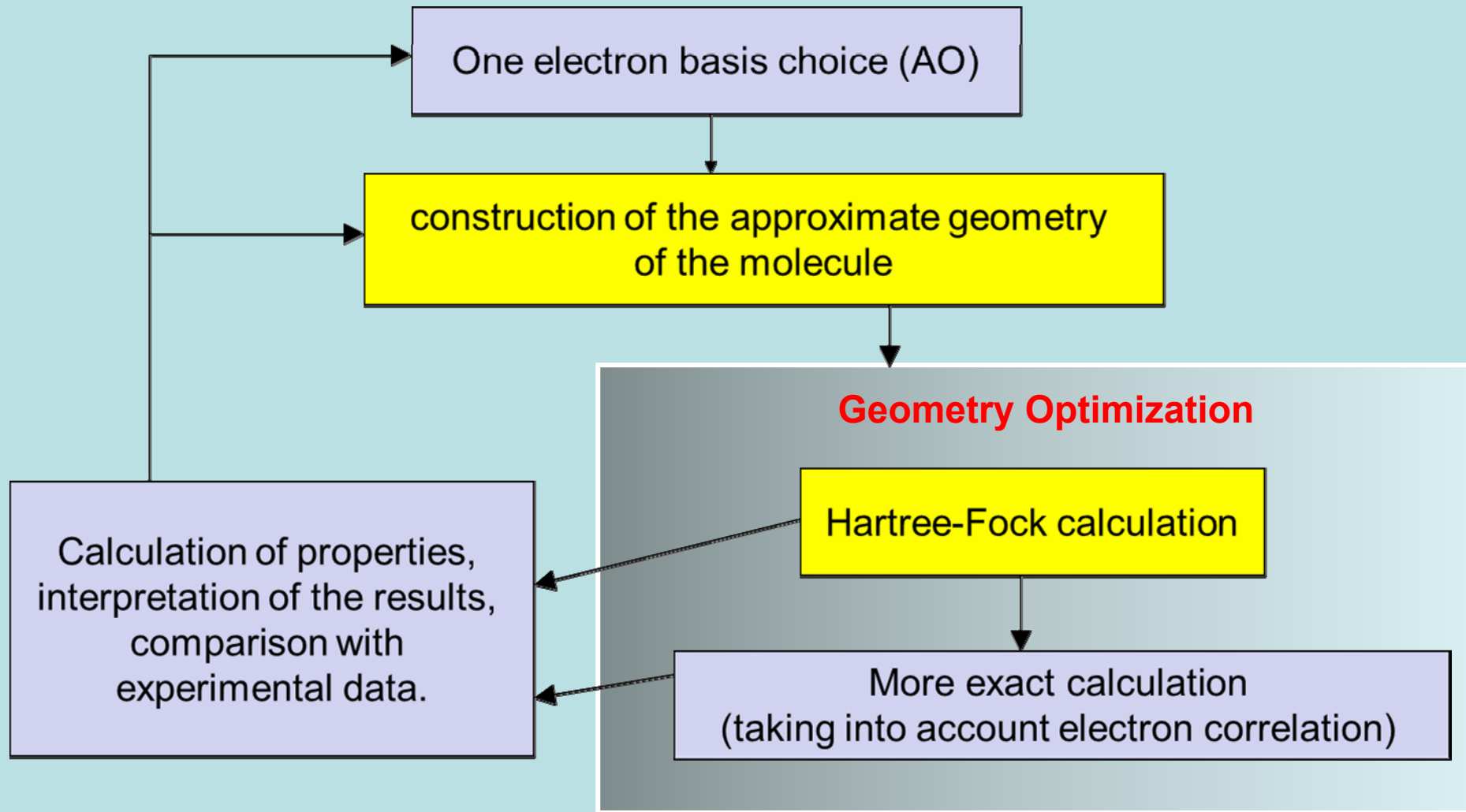
## Molecular Geometry Optimization

Prof. Vladimir Ivanov

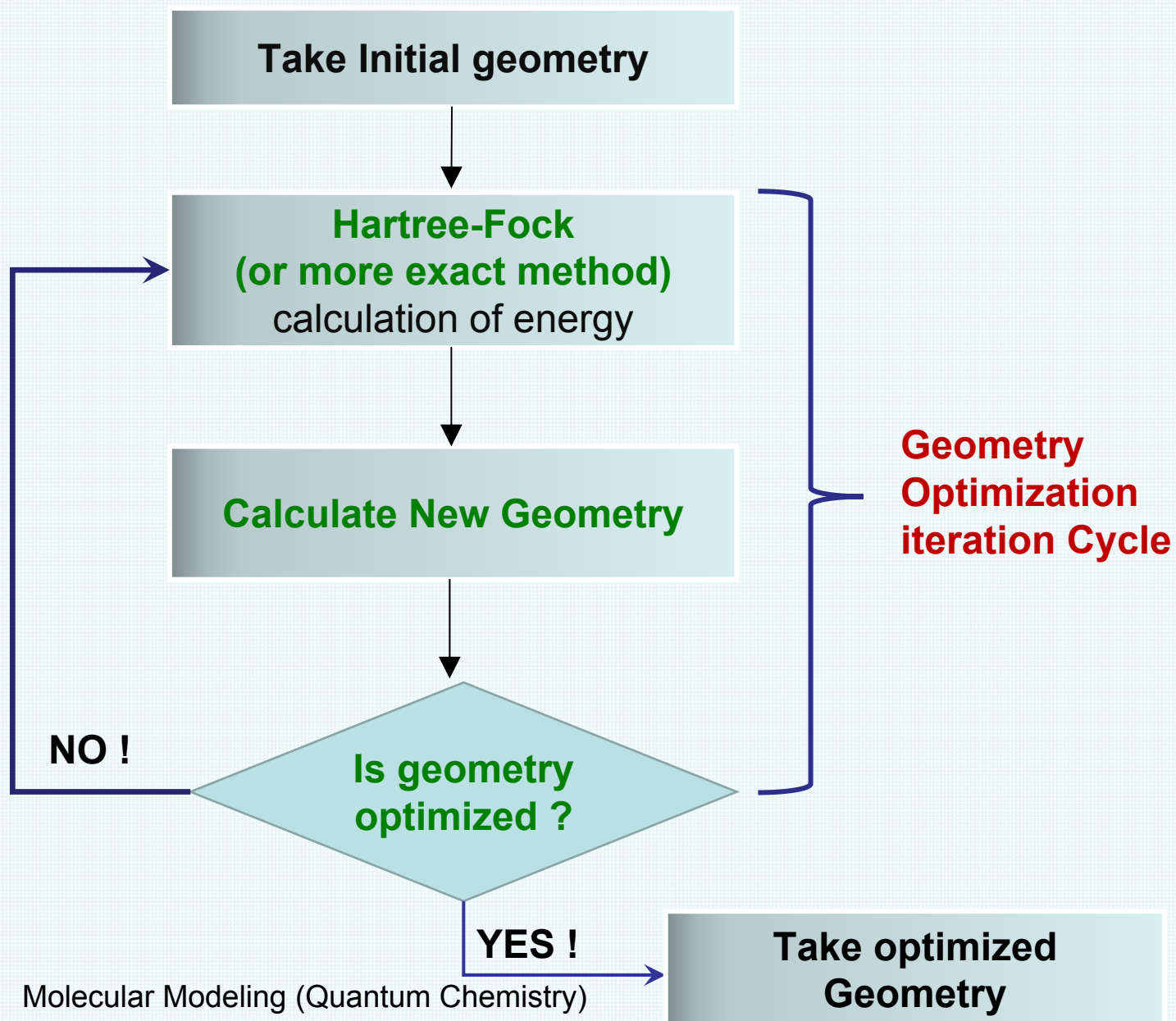
Chemical Materials Department  
V. N. Karazin Kharkiv National University  
61077, Kharkiv, **Ukraine**

E-mail: [vivanov@karazin.ua](mailto:vivanov@karazin.ua)

# Typical scheme of Quantum Chemistry (*ab initio*) calculation



# Geometry Optimization procedure



# Number of degrees of freedom of a molecule (geometry)

For an N atomic molecule, the number of possible shifts of atoms =  $3N$

Translation of the molecule as a whole - 3

Rotation of a **nonlinear** molecule as a whole - 3

Rotation of a **linear** molecule as a whole - 2

$3N - 6$  Number of degrees of freedom of a **nonlinear N - atomic** molecule

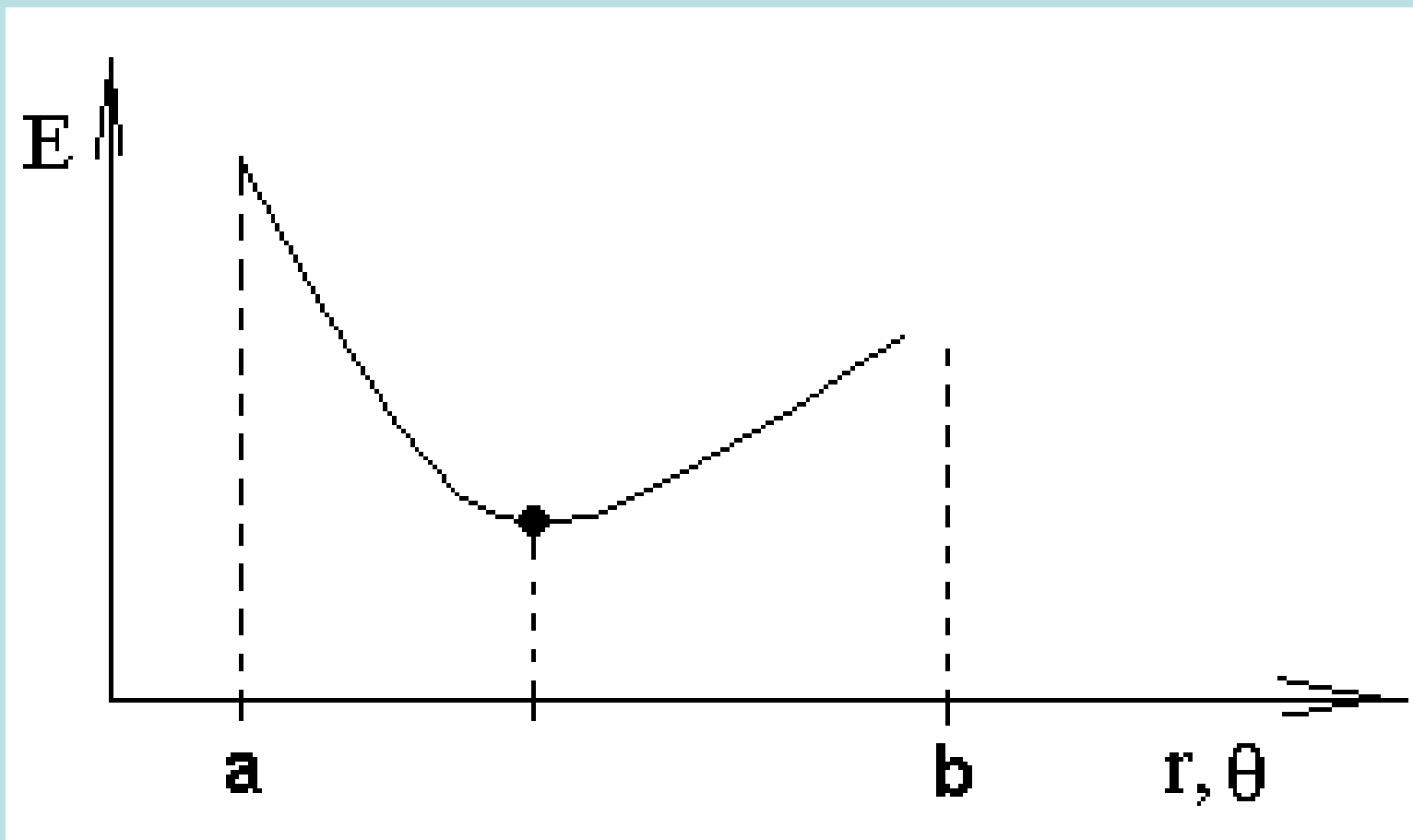
$3N - 5$  Number of degrees of freedom of a **linear N - atomic** molecule

The Energy is function of  $3N - 6$  geometrical parameters for Nonlinear molecule

$$\mathbf{E} = \mathbf{E}(r_{\text{C-C}}, r_{\text{C-H}}, r_{\text{C-N}}, \dots, \theta_{\text{C-C-C}}, \theta_{\text{C-C-H}}, \dots, D_{\text{C-C-C-C}}, D_{\text{C-C-O-H}}, D_{\text{C-C-N-N}}, \dots)$$

$3N - 6$  parameters

## PES – potential energy surface



$$E(r_i, \dots, \theta_k, \dots), \quad \{i, k\} = 3N - 6$$

# Stationary Point Characteristics (minimum)

$$\min f(\mathbf{x})$$

$$\frac{\partial f}{\partial \mathbf{x}} = 0$$

$$\frac{\partial^2 f}{\partial \mathbf{x}^2} > 0$$

$$\min E = E(\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_{3N-6})$$

$$\frac{\partial E}{\partial \mathbf{x}_1} = \frac{\partial E}{\partial \mathbf{x}_2} = \dots = \frac{\partial E}{\partial \mathbf{x}_{3N-6}} = \text{small} \approx 10^{-5}$$

$$H = \begin{pmatrix} \frac{\partial^2 E}{\partial \mathbf{x}_1 \partial \mathbf{x}_1} & \frac{\partial^2 E}{\partial \mathbf{x}_1 \partial \mathbf{x}_2} & \dots & \frac{\partial^2 E}{\partial \mathbf{x}_1 \partial \mathbf{x}_{3N-6}} \\ \frac{\partial^2 E}{\partial \mathbf{x}_2 \partial \mathbf{x}_1} & \frac{\partial^2 E}{\partial \mathbf{x}_2 \partial \mathbf{x}_2} & \dots & \frac{\partial^2 E}{\partial \mathbf{x}_2 \partial \mathbf{x}_{3N-6}} \\ \dots & \dots & \dots & \dots \\ \frac{\partial^2 E}{\partial \mathbf{x}_{3N-6} \partial \mathbf{x}_1} & \frac{\partial^2 E}{\partial \mathbf{x}_{3N-6} \partial \mathbf{x}_2} & \dots & \frac{\partial^2 E}{\partial \mathbf{x}_{3N-6} \partial \mathbf{x}_{3N-6}} \end{pmatrix}$$

# Diagonal representation of Hessian matrix

$$\left( \begin{array}{cccc} \frac{\partial^2 E}{\partial x_1 \partial x_1} & \frac{\partial^2 E}{\partial x_1 \partial x_2} & \cdots & \frac{\partial^2 E}{\partial x_1 \partial x_{3N-6}} \\ \frac{\partial^2 E}{\partial x_2 \partial x_1} & \frac{\partial^2 E}{\partial x_2 \partial x_2} & \cdots & \frac{\partial^2 E}{\partial x_2 \partial x_{3N-6}} \\ \cdots & \cdots & \cdots & \cdots \\ \frac{\partial^2 E}{\partial x_{3N-6} \partial x_1} & \frac{\partial^2 E}{\partial x_{3N-6} \partial x_2} & \cdots & \frac{\partial^2 E}{\partial x_{3N-6} \partial x_{3N-6}} \end{array} \right) \rightarrow \left( \begin{array}{cccc} \frac{\partial^2 E}{\partial z_1 \partial z_1} & 0 & \cdots & 0 \\ 0 & \frac{\partial^2 E}{\partial z_2 \partial z_2} & \cdots & 0 \\ \cdots & \cdots & \cdots & \cdots \\ 0 & 0 & \cdots & \frac{\partial^2 E}{\partial z_{3N-6} \partial z_{3N-6}} \end{array} \right)$$

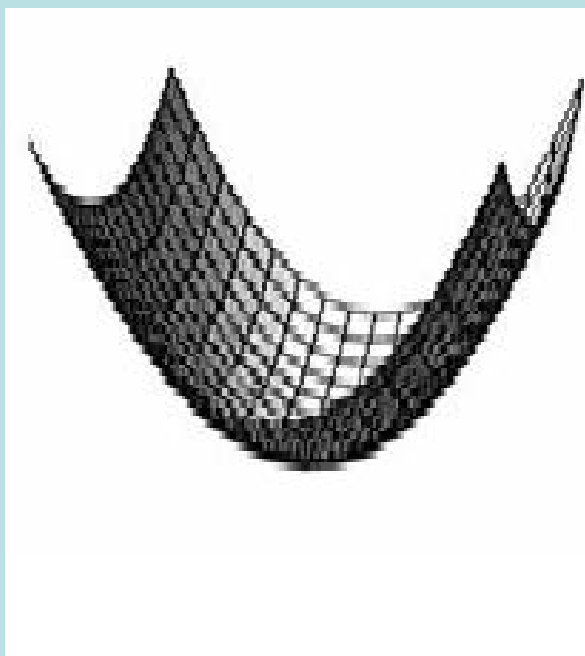
$$z = \sum_{i=1}^{3N-6} c_i x_i$$

$$\frac{\partial^2 E}{\partial z_i \partial z_i}$$

[positive, negative, zero]

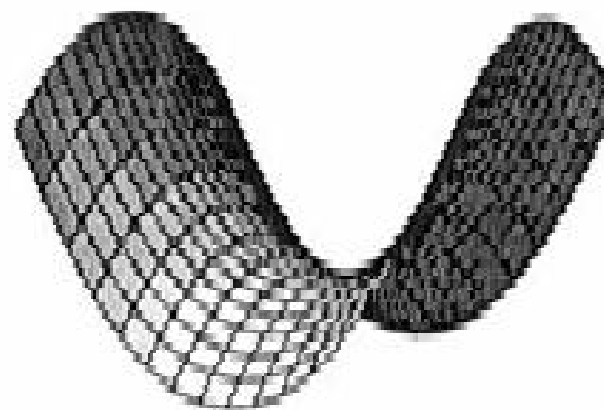
In minimum (optimal geometry) [ N,0,0 ]

**Minimum**



**[ N, 0, 0 ]**

**Transition state**



**[ N-1, 1, 0 ]**



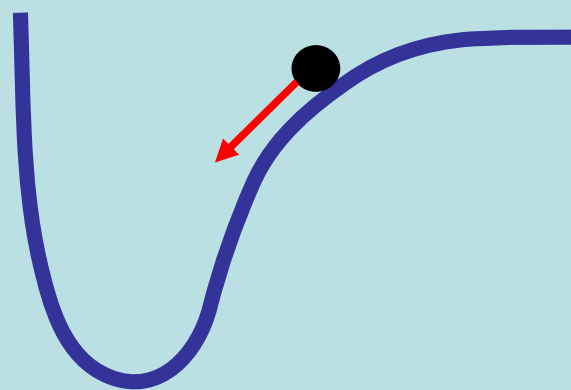
# Gradient

$$E(r_i, \theta_k) \quad X = \{ r_i, \theta_k \}$$

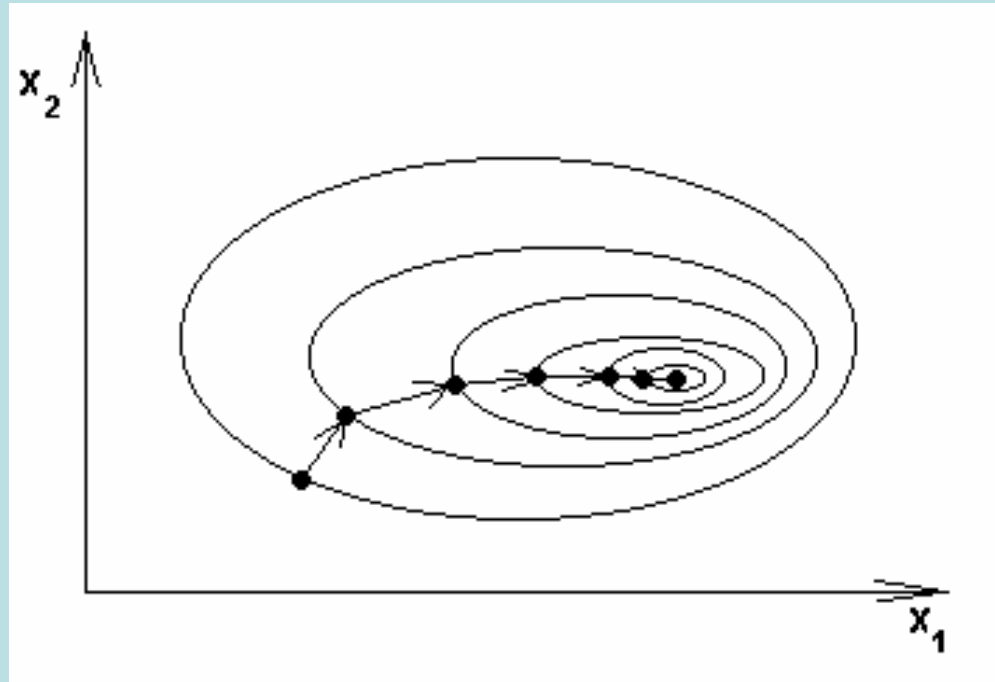
$$X = \begin{pmatrix} X_1 \\ X_2 \\ \dots \\ X_{3N-6} \end{pmatrix} \quad g_i = \frac{\partial E}{\partial X_i} \equiv \begin{pmatrix} \frac{\partial E}{\partial X_1} \\ \frac{\partial E}{\partial X_2} \\ \dots \\ \frac{\partial E}{\partial X_{3N-6}} \end{pmatrix}$$

opttol parameter

$$\|g\| \leq \text{opttol} = 10^{-5} \text{ Kcal/(A mol)}$$



# Steepest Descent

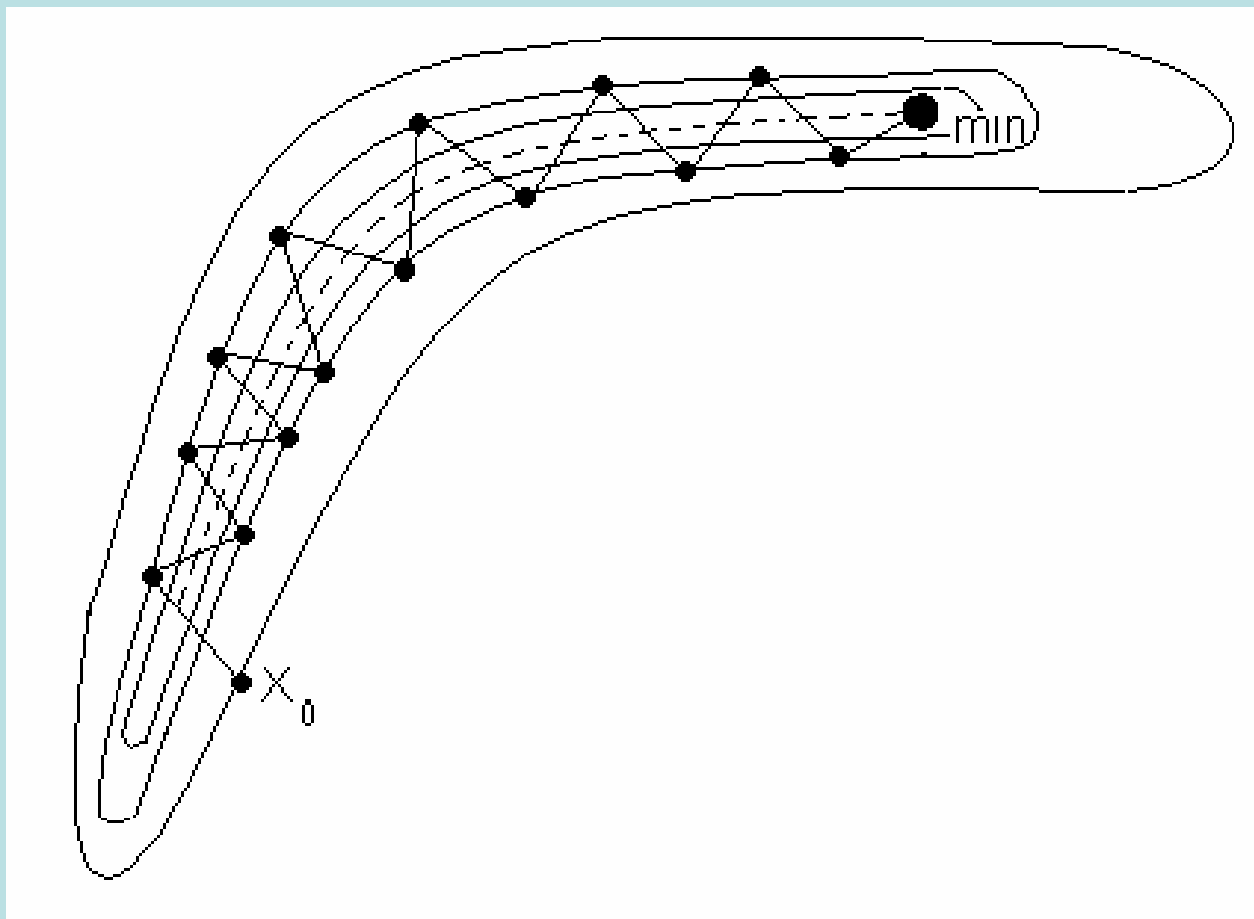


$$\mathbf{X}_{(k)} = \mathbf{X}_{(k-1)} - \alpha_{(k-1)} \mathbf{g}_{(k-1)}$$

One can find  $\alpha$   
By minimization of energy

$$\mathbf{X}_{(0)} \rightarrow \mathbf{X}_{(1)} \rightarrow \mathbf{X}_{(2)} \rightarrow \dots \rightarrow \mathbf{X}_*$$

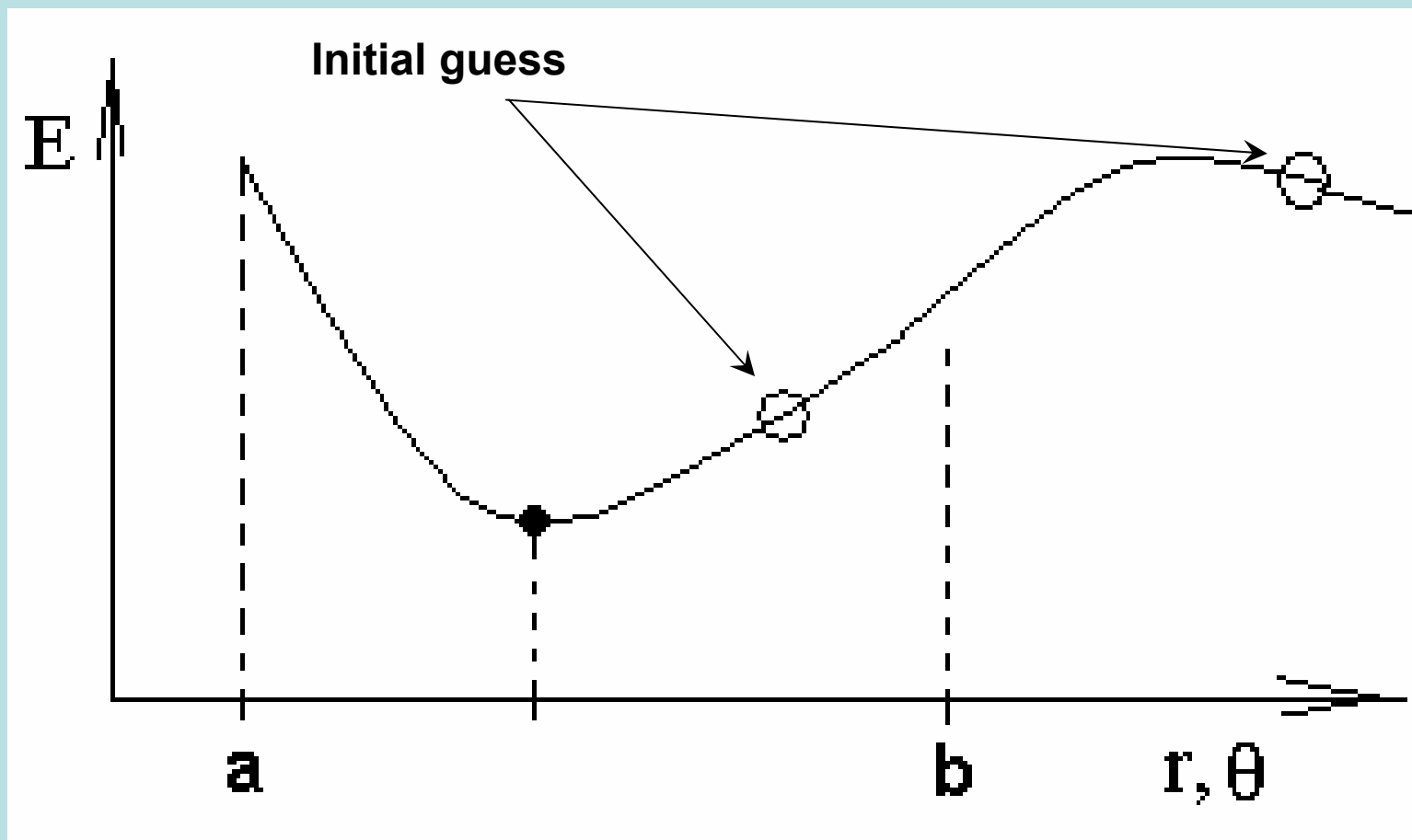
# The problem of ravines



## quasi-newtonian methods

$$\mathbf{X}_{(k)} = \mathbf{X}_{(k-1)} - \alpha_{(k-1)} \mathbf{H}_{(k-1)}^{-1} \mathbf{g}_{(k-1)}$$

# It is important to choose a good initial geometry !!!



## GAMESS options

gradient and Hessian can be found

Analytically (fast method)

Numerically (slow method)

```
$control numgrd=.true. $end
```

Accuracy of geometry optimization and number of optimization steps:

```
$statpt
```

```
opttol=1e-5 nstep=50
```

```
$end
```

NSERCH= 44

ENERGY= -1365.0182790

Energy of system !!!

GRADIENT (HARTREE/BOHR)

ATOM	ZNUC	DE/DX	DE/DY	DE/DZ	
1	AL	13.0	0.0000041	-0.0000100	0.0000063
2	AL	13.0	-0.0000251	-0.0000263	0.0000152
3	O	8.0	0.0000059	0.0000024	-0.0000241
4	SI	14.0	-0.0000350	-0.0000317	0.0000005
5	SI	14.0	0.0000037	0.0000375	-0.0000201

MAXIMUM GRADIENT = 0.0000375 RMS GRADIENT = 0.0000161

\*\*\*\*\* EQUILIBRIUM GEOMETRY **LOCATED** \*\*\*\*\*

COORDINATES OF ALL ATOMS ARE (ANGS)

ATOM	CHARGE	X	Y	Z
AL	13.0	0.0722278997	0.0420774565	3.7713557809
AL	13.0	1.8600680440	1.4537918605	1.4466944061
O	8.0	1.2067051691	0.9524349136	2.9181865457
SI	14.0	-1.6729777729	-1.4110882472	1.4877902217
SI	14.0	0.0702419855	-0.0380169106	-0.7766354571

NSERCH= **200** ENERGY= -1365.232790

-----  
GRADIENT (HARTREE/BOHR)  
-----

ATOM	ZNUC	DE/DX	DE/DY	DE/DZ
1 AL	13.0	0.041000	-0.0000100	0.0000063
2 AL	13.0	-0.510000	-0.0000263	0.0000152
3 O	8.0	0.059000	0.0000024	-0.0000241
4 SI	14.0	-0.035000	-0.0000317	0.0000005
5 SI	14.0	0.0000037	0.0000375	-0.0000201

MAXIMUM GRADIENT = 0.375 RMS GRADIENT = 0.161

\*\*\*\*\* **FAIL** TO LOCATE STATIONAR POINT \*\*\*\*\*

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# To be Continued

## Hartree-Fock Approach